

HALVERSON | NORTHWEST LAW GROUP

RECEIVED

October 12, 2017

OCT 13 2017

Attorney General of Washington
ATTN: Mr. Tony Perkins
PO Box 40100
Olympia, WA 98504-0100

ATTORNEY GENERAL'S OFFICE
Campaign Finance Unit

Re: Citizen Complaint by Walter M. Smith against
State Representative Norman Johnson

Dear Mr. Perkins:

I am writing in behalf of State Representative Norman Johnson in response to the complaint filed with your office by Walter M. Smith, dated September 1, 2017.

I will respond to Mr. Smith's allegations in the same order in which they were set forth in his aforementioned letter, with one exception. His Allegation A represents, in actuality, two allegations which I will refer to as Allegations A1 and A2.

ALLEGATION A1:

Mr. Smith alleges that Mr. Johnson transferred the sum of \$3,000 from his surplus funds account to the House Republican Organizational Committee (HROC), which it received on October 14, 2016, without reporting such transfer. As you know, such transfers are allowed under RCW 42.17A.430(3). Contrary to Mr. Smith's allegation, Mr. Johnson did report such transfer having been made on September 30, 2016 in the C4 that he filed with the PDC on April 11, 2017, a copy of which is enclosed.

ALLEGATION A2:

Mr. Smith alleges that on August 21, 2016 Mr. Johnson made a "donation" to the West Valley Fair in the sum of \$1,038.55 in violation of the prohibition against a candidate making personal use of campaign funds. Mr. Johnson's payment to the Fair was misreported in his C4 filing of September 12, 2016 as a "Donation to Fair". It should have been reported as a campaign sponsorship of a Fair event. Enclosed is a copy of the campaign check by which this payment was made. It referenced a "Bill # 179". Also enclosed is a copy of the Fair's invoice reflecting this bill number. What Mr. Johnson did was to sponsor the sale of a goat and pig at the Fair as a campaign event in his name.

Raymond G. Alexander
J. Jay Carroll
Alan D. Campbell++
Paul C. Dempsey**
James S. Elliott
Yuridia Equihua
Robert N. Faber
F. Joe Falk, Jr.+
Mark E. Fickes
Carter L. Fjeld
Brett N. Goodman
Frederick N. Halverson+
Kellen J. Holgate
Lawrence E. Martin*
Terry C. Schmalz+
Linda A. Sellers
Michael F. Shinn
Juliana Van Wingerden
Stephen R. Winfree+

*Also OR Bar Member
**Also State Bar of CA Member
+Of Counsel
++Retired

halversonNW.com

HALVERSON | NORTHWEST LAW GROUP P.C.

Yakima Office: 405 E. Lincoln Avenue | PO Box 22550 | Yakima, WA 98907 | p) 509.248.6030 | f) 509.453.6880

Sunnyside Office: 910 Franklin Avenue, Suite 1 | PO Box 210 | Sunnyside, WA 98944 | p) 509.837.5302 | f) 509.837.2465

This sponsorship was reflected in an advertisement published in the Yakima Valley Business Times on August 19, 2016, a copy of which is additionally enclosed. Thus, Mr. Johnson did not contribute campaign funds to the Fair in violation of the personal use prohibition, as alleged.

ALLEGATION B:

This allegation is essentially identical to Allegation A1 except for the additional allegation that Mr. Johnson was required to report the funds transfer to HROC by November 10, 2016. As already noted, Mr. Johnson did report this transfer by the C4 that he filed with the PDC on April 11, 2017. However, Mr. Smith is correct that a C4 for the surplus funds account reporting the HROC funds transfer should have been filed sooner than April 11, 2017. Thus, he is correct that the funds transfer should have been sooner reported, but he is mistaken that no reporting of the transfer was done.

ALLEGATION C:

Mr. Smith alleges that Mr. Johnson failed to report the accrual of an obligation to Smith Phillips DiPietro (Smith Phillips) for campaign advertising and related expenses during the reporting month when it was incurred. In his December 12, 2016 C4 filing Mr. Johnson did report this expense as having been paid on November 10, 2016. Smith Phillips' statement for these expenses (copy enclosed) was dated October 31, 2016. Mr. Johnson likely received it during the first week of November 2016. He advises me that he had not been informed of his obligation to Smith Phillips before receiving the October 31st statement from them. He therefore did not report this obligation on his C4 for October 2016 as he had not been informed about it. Over his eight years as a House member, he has routinely reported his campaign expenses on a cash basis. He did not understand that he needed to report an obligation for the month in which it is incurred even though it had not yet been paid. He now understands that he is required to report an obligation for the month in which it is incurred even if it is not paid that same month. To correct this discrepancy, Mr. Johnson has filed an amended C4 for October of 2016 that was filed on October 11, 2017, a copy of which is enclosed.

ALLEGATION D:

Mr. Smith alleges that Mr. Johnson did not timely file C4 reports for expenses incurred in February and May of 2016 totaling \$1,674.33. As he notes, they were reported by Mr. Johnson in his two C4 filings that were received by the PDC on July 12, 2016. Mr. Smith is correct that these expenses should have been sooner reported. They were not sooner reported due simply to an oversight.

I would additionally comment that well over one year before Mr. Smith's complaint Mr. Johnson did make a full disclosure to the PDC of all the foregoing expenses albeit in some instances later than required. He did not engage in deception or act with malice, nor does Mr. Smith allege otherwise. He also authorized me to respond to Mr. Smith's allegation with full candor, which hopefully this letter reflects.

If you require any additional information or have any questions, please let me know. I look forward to hearing from you in due course as to what actions, if any, your office deems appropriate.

Very truly yours,

HALVERSON|NORTHWEST LAW GROUP

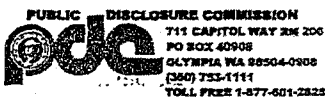


F. Joe Falk, Jr.

FJF:htm

Enclosures

Cc: Norm Johnson (by email)
Emily Medeiros (by email)
Chip Beatty at PDC (by email)



CAMPAIGN SUMMARY RECEIPTS & EXPENDITURES

C4
(1/12)

PDC OFFICE USE

RECEIVED

APR 11 2017

Public Disclosure Commission

Candidate or Committee Name (Do not abbreviate. Include full name)

NORM JOHNSON SURPLUS ACCOUNT

Mailing Address

55 W. WASHINGTON #83

City

YAKIMA

Zip + 4

98903

Office Sought (Candidates)

Election Date

Report

Period

Covered

RECEIPTS

From (last C-4)

To (end of period)

Final Report?

Yes ☐ No ☐

*For PACs, Parties & Caucus Committees: During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

*See reverse

Yes ☐No ☐

1. Previous total cash and in kind contributions (From line 8, last C-4)
(If beginning a new campaign or calendar year, see instruction booklet)

\$ 73,000

2. Cash received (From line 2, Schedule A)

\$ 41,000

3. In kind contributions received (From line 1, Schedule B)

4. Total cash and in kind contributions received this period (Line 2 plus 3)

41,000

5. Loan principal repayments made (From line 2, Schedule L)

()

6. Corrections (From line 1 or 3, Schedule C)

Show + or (-)

7. Net adjustments this period (Combine line 5 & 6)

Show + or (-)

8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)

114,000.00

9. Total pledge payments due (From line 2, Schedule B)

()

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4)
(If beginning a new campaign or calendar year, see instruction booklet)

63,471.17

11. Total cash expenditures (From line 4, Schedule A)

11,188.87

12. In kind expenditures (goods & services) (From line 1, Schedule B)

13. Total cash and in kind expenditures made this period (Line 11 plus line 12)

14. Loan principal repayments made (From line 2, Schedule L)

()

15. Corrections (From line 2 or 3, Schedule C)

Show + or (-)

16. Net adjustments this period (Combine lines 14 & 15)

Show + or (-)

17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)

74,660.04

CANDIDATES ONLY

| | Won | Lost | Unopposed | Name not on ballot |
|------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Primary election | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| General election | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Treasurer's Daytime Telephone No.:

(509) - 314 9668

CASH SUMMARY

18. Cash on hand (Line 9 minus line 17)

(Line 18 should equal your bank account balance(s) plus your petty cash balance.)

39,339.96

19. Liabilities: (Sum of loans and debts owed)

()

20. Balance (Surplus or deficit) (Line 18 minus line 19)

39,339.96

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature

Date

Treasurer's Signature

Date

Norm Johnson 4-11-17

SEE INSTRUCTIONS ON REVERSE

CASH RECEIPTS AND EXPENDITURE

SCHEDULE
to C4A
(1/84)

RECEIVED

APR 11 2017

Candidate or Committee Name (Do not abbreviate. Use full name.)

NORM JOHNSON SURPLUS ACCOUNT

Public Disclosure Commission

Report Date
4/11/17

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

| Date of deposit | Amount | Date of deposit | Amount | Date of deposit | Amount | Total deposits |
|-----------------|--------|-----------------|--------|-----------------|--------|----------------|
| 11/16/16 | 41,000 | | | | | \$ |

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$41,000

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed.

The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information in the Description block: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE
DEFINITIONS
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)
 I - Independent Expenditures
 L - Literature, Brochures, Printing
 B - Broadcast Advertising (Radio, TV)
 N - Newspaper and Periodical Advertising
 O - Other Advertising (yard signs, buttons, etc.)
 V - Voter Signature Gathering

P - Postage, Mailing Permits
 S - Surveys and Polls
 F - Fundraising Event Expenses
 T - Travel, Accommodations, Meals
 M - Management/Consulting Services
 W - Wages, Salaries, Benefits
 G - General Operation and Overhead

3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency, consultant or credit card company, provide a detailed breakdown in the Description block of expenses included in the payment.

| Date Paid | Vendor or Recipient (Name and Address) | Code | Purpose of Expense and/or Description | Amount |
|-----------|---|------|---|---------|
| N/A | Expenses of \$50 or less | N/A | N/A | |
| 5/16/16 | NORM JOHNSON | | Reimbursement for Dinner @ Anthony's with Adam Story | \$65.67 |
| 5/16/16 | " " | | Reimbursement for Dinner @ Red Lion with Kickerat Commissioners | 75.28 |
| 5/16/16 | " " | | Reimbursement for Dinner @ Outback with (FAR) Rep Armstrong | 77.40 |
| 5/16/16 | " " | | Reimbursement for Yakima Cattleman's Dinner | 45.00 |
| 6/4/16 | Heritage University | | Scholarship | 1000.00 |
| 7/25/16 | Norm Johnson | | Mileage Reimbursement for PNWER conf. to Calgary Alberta | 756.54 |
| 8/23/16 | Gasperetti's | | Lunch for Dr. Watson | 133.10 |

4. TOTAL CASH EXPENDITURES

Total from attached pages \$

Enter also on line 11 of C4 \$

CODE DEFINITIONS ON NEXT PAGE

RECEIVED

CASH RECEIPTS AND EXPENDITURE

SCHEDULE
to C4A
(1/84)

APR 11 2017

Public Disclosure Commission
Report Date

4/4/17

Candidate or Committee Name (Do not abbreviate. Use full name.)

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

| Date of deposit | Amount | Date of deposit | Amount | Date of deposit | Amount | Total deposits |
|-----------------|--------|-----------------|--------|-----------------|--------|----------------|
| | | | | | | \$ |

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4

\$

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|-----------|---|------|--|------------|
| N/A | Expenses of \$50 or less | N/A | N/A | |
| 8/29/16 | Norm Johnson | | Reimbursement for TNAER - Registration, lodging and meals | \$ 2278.48 |
| 9/30/16 | " " | | Reimbursement for WSL - Registration, lodging, meals & Airfare | 1914.97 |
| 9/30/16 | HROC | | Contribution | 3000.- |
| 11/16/16 | Friends of Yakima Valley School RHC | | Charitable Contribution | 500.- |
| 11/17/16 | Southeast Community Center | | Charitable Contribution | 200.- |
| 11/17/16 | Norm Johnson | | Reimbursement for lunch (P Gasperitis w/ Sen. King) | 48.42 |
| 11/19/16 | Mary Hill Museum of Art | | Charitable Contribution | 1000.- |

4. TOTAL CASH EXPENDITURES

Total from attached pages

\$

Enter also on line 11 of C4

\$

CODE DEFINITIONS ON NEXT PAGE

CASH RECEIPTS AND EXPENDITURE**SCHEDULE**
to C4**A**
(1/24)**RECEIVED**

APR 11 2017

Public Disclosure Commission

Candidate or Committee Name (Do not abbreviate. Use full name.)

Report Date
4/11/17**1. CASH RECEIPTS** (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

| Date of deposit | Amount | Date of deposit | Amount | Date of deposit | Amount | Total deposits |
|-----------------|--------|-----------------|--------|-----------------|--------|----------------|
| | | | | | | \$ |

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed.

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| Date Paid | Vendor or Recipient (Name and Address) | Code | Purpose of Expense and/or Description | Amount |
|-----------|---|------|--|---------|
| N/A | Expenses of \$50 or less | N/A | N/A | |
| 1/17/17 | House Rep. Caucus | | Flower Fund | \$ 50.- |
| 1/24/17 | Gale Sackman | | Reimbursement for Certificate Frame | 44.01 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total from attached pages \$

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \$

CODE DEFINITIONS ON NEXT PAGE

West Valley Fair & Livestock Show
Greg Boisselle
PO Box 8271
Yakima, WA 98908

West Valley Fair & Livestock Show

Federal Tax Identification Number: 37-1652621



(509) 941-8720

| Buyer ID: | Bill Number: | Bill Date: | Print Date: | Amount Due: | Due By: |
|-----------|--------------|------------|-------------|-------------|-----------|
| 251 | 179 | 7/28/2016 | 7/28/2016 | \$1,038.55 | 8/27/2016 |

JOHNSON, NORM
55 W Washington #83
Yakima, WA 98903

Transaction Summary

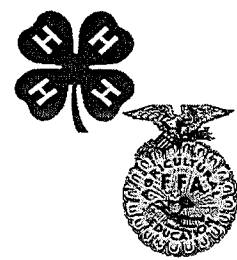
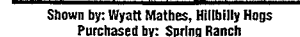
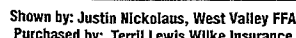
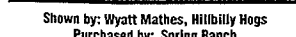
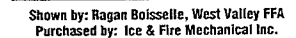
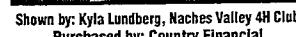
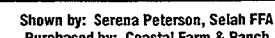
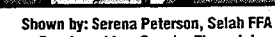
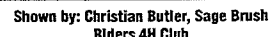
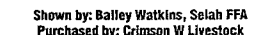
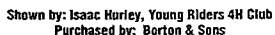
| Type | Division | Entry | Description | Other | Ownership | Unit Price | Total Amount |
|--------|--------------|-------|----------------|--------------|---------------|------------|--------------|
| Sale | Market Goat | 345 | Inv 172/Tag 45 | TJ JONES | 100.0% of 86 | \$3.75 | \$322.50 |
| Credit | Market Goat | 345 | Inv 172/Tag 45 | TJ JONES | 100.0% of 86 | (\$1.45) | (\$124.70) |
| Sale | Market Swine | 227 | Inv 92/Tag 67 | EMILY GUTMAN | 100.0% of 285 | \$3.50 | \$997.50 |
| Credit | Market Swine | 227 | Inv 92/Tag 67 | EMILY GUTMAN | 100.0% of 285 | (\$0.55) | (\$156.75) |

8/24/16
✓ # 1247

Thank you
Norm
Carmel (11)

Tear off and include bottom portion with payment

**PAY TO THE ORDER OF
CENTRAL VALLEY BANK
YAKIMA, WA. 98902-4742
125105822
FOR DEPOSIT ONLY
WF FAIR & FAT STOCK
232503524**



Accounts Receivable Statement

Norm Johnson for State Representative
55 W. Washington Ave. #83
Yakima, WA 98903

10/31/16

| Inv. Date | Inv. Number | Current | Over 30 Days | Over 60 Days | Over 90 Days |
|-----------|-------------|-------------|--------------|--------------|--------------|
| 10/31/16 | 13968-0 | \$500.00 | | | |
| 10/31/16 | 13969-0 | \$6,726.70 | | | |
| 10/31/16 | 13970-0 | \$16,311.25 | | | |
| 10/31/16 | 13971-0 | \$9,462.80 | | | |
| 10/31/16 | 13972-0 | \$947.50 | | | |
| 10/31/16 | 13973-0 | \$81.25 | | | |
| 10/31/16 | 13974-0 | \$2,626.27 | | | |
| | | \$36,655.77 | | | |

Total Amount Due: \$36,655.77

V# 1266
PA 11/10/16

Smith, Phillips
& DiPietro

ADVERTISING & PUBLIC RELATIONS

INVOICE

Invoice No.: 013968-00

Date: October 31, 2016

Page: 1

Norm Johnson for State Representati
55 W. Washington Ave. #83
Yakima, WA 98903

Job: 005936 Miscellaneous Charges 2016
Component: 1

P.O.:

| | |
|--------------|--------|
| retainer fee | 500.00 |
|--------------|--------|

| | |
|--------------------------|----------|
| Total for Job Component: | \$500.00 |
|--------------------------|----------|

| | |
|--------------------|----------|
| Total for Invoice: | \$500.00 |
|--------------------|----------|

Smith, Phillips
& DiPietro
ADVERTISING & PUBLIC RELATIONS

INVOICE

Invoice No.: 013969-00

Date: October 31, 2016

Norm Johnson for State Representative
55 W. Washington Ave. #83
Yakima, WA 98903

Job: 06065 General Election Mailer 2016

| | |
|--------------------------------------|----------|
| postage | 3,396.35 |
| photography | 168.56 |
| printing/addressing/sorting for mail | 2,593.61 |
| mailing list | 568.18 |

| | |
|---------------|----------|
| invoice total | 6,726.70 |
|---------------|----------|

Smith, Phillips
& DiPietro
ADVERTISING & PUBLIC RELATIONS

Norm Johnson for State Representative
Norm Johnson for State Representative
Norm Johnson for State Representative
55 W. Washington Ave. #83
Yakima, WA 98903

Invoice : 13970-0
Date : 10/31/16

Client Reference:

Page : 1

INVOICE

Current
Amount

Job Number: 006100 Media - Newspaper 2016

| | | |
|------------------------------|----------|-----------|
| Newspaper - Media | | 16,311.25 |
| C.W. Senior Times | 1,052.97 | |
| Camas Washougal Post | 1,761.22 | |
| Goldendale Sentinel | 1,658.87 | |
| Skamania County Pioneer | 1,725.93 | |
| The Enterprise | 1,517.69 | |
| Yakima Herald Republic | 6,488.63 | |
| Yakima Valley Business Times | 2,105.94 | |

Invoice Total: 16,311.25

Smith, Phillips
& DiPietro

ADVERTISING & PUBLIC RELATIONS

Norm Johnson for State Representative
Norm Johnson for State Representative
Norm Johnson for State Representative
55 W. Washington Ave. #83
Yakima, WA 98903

Invoice : 13971-0

Date : 10/31/16

Client Reference:

Page : 1

INVOICE

Current
Amount

Job Number: 006101 Media - Radio 2016

Radio - Media

| | | |
|---------------|----------|----------|
| KACI FM Radio | 677.78 | 9,462.80 |
| KARY FM Radio | 1,600.04 | |
| KIT AM Radio | 2,032.85 | |
| KRSE FM Radio | 1,600.04 | |
| KXDD FM Radio | 2,688.07 | |
| KYYT FM Radio | 864.02 | |

Invoice Total:

9,462.80

Smith, Phillips
& DiPietro

ADVERTISING & PUBLIC RELATIONS

INVOICE

Invoice No.: 013972-00

Date: October 31, 2016

Page: 1

Norm Johnson for State Representati
55 W. Washington Ave. #83
Yakima, WA 98903

Job: 006102 Newspaper Production 2106
Component: 1

P.O.:

| | |
|---------------|--------|
| coordination | 122.50 |
| design/layout | 765.00 |
| revisions | 60.00 |

Total for Job Component:

\$947.50

Total for Invoice:

\$947.50

Smith, Phillips
& DiPietro
ADVERTISING & PUBLIC RELATIONS

INVOICE

Invoice No.: 013973-00
Date: October 31, 2016
Page: 1

Norm Johnson for State Representati
55 W. Washington Ave. #83
Yakima, WA 98903

Job: 006104 Digital Ad Production 2016
Component: 1

P.O.:

| | |
|---------------|-------|
| coordination | 17.50 |
| design/layout | 63.75 |

Total for Job Component: \$81.25

Total for Invoice: \$81.25

Smith, Phillips
& DiPietro

ADVERTISING & PUBLIC RELATIONS

INVOICE

Invoice No.: 013974-00

Date: October 31, 2016

Page: 1

Norm Johnson for State Representati
55 W. Washington Ave. #83
Yakima, WA 98903

Job: 006107 "Go Vote" Direct Mailer 2016

P.O.:

Component: 1

| | |
|--------------------|----------|
| outside purchases | 256.40 |
| Postage - Internal | 1,529.53 |
| printing | 840.34 |

Total for Job Component:

\$2,626.27

Total for Invoice:

\$2,626.27



SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

C4

(1/12)

PDC OFFICE USE

Candidate or Committee Name (Do not abbreviate. Include full name)

NORMAN JOHNSON (NORM JOHNSON FOR STATE REPRESENTATIVE)

Mailing Address

City

55 W. WASHINGTON AVE #83

YAKIMA

Zip + 4

98903

Office Sought (Candidates)

STATE REPRESENTATIVE

2016

Report Period
CoveredFrom (last C-4)
10/18/2016To (end of period)
10/31/2016Final Report?
Yes No ☒

*For PACs, Parties & Caucus Committees: During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

RECEIPTS

*See next page

Yes

No

☒

1. Previous total cash and in kind contributions (From line 8, last C-4)
(if beginning a new campaign or calendar year, see instruction booklet) \$99,382.29
2. Cash received (From line 2, Schedule A) \$2,003.33
3. In kind contributions received (From line 1, Schedule B) \$0.00
4. Total cash and in kind contributions received this period (Line 2 plus 3) \$2,003.33
5. Loan principal repayments made (From line 2, Schedule L) \$0.00
6. Corrections (From line 1 or 3, Schedule C) Show + or (-) \$0.00
7. Net adjustments this period (Combine line 5 & 6) Show + or (-) \$0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7) \$101,385.62
9. Total pledge payments due (From line 2, Schedule B) \$0.00

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4)
(if beginning a new campaign or calendar year, see instruction booklet) \$22,746.97
11. Total cash expenditures (From line 4, Schedule A) \$753.63
12. In kind expenditures (goods & services) (From line 1, Schedule B) \$0.00
13. Total cash and in kind expenditures made this period (Line 11 plus line 12) \$753.63
14. Loan principal repayments made (From line 2, Schedule L) \$0.00
15. Corrections (From line 2 or 3, Schedule C) Show + or (-) \$0.00
16. Net adjustments this period (Combine lines 14 & 15) Show + or (-) \$0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16) \$23,500.60

CANDIDATES ONLY

| | Won | Lost | Unopposed | Name not on ballot |
|------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Primary election | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| General election | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Treasurer's Daytime Telephone No.:

(509) 307-0195

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17) \$77,885.02
(Line 18 should equal your bank account balance(s) plus your petty cash balance.)
19. Liabilities: (Sum of loans and debts owed) \$36,655.77
20. Balance (Surplus or deficit) (Line 18 minus line 19) \$41,229.25

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature

Date

Treasurer's Signature

Date

100791846

10/11/17

Amended

CASH RECEIPTS AND EXPENDITURE

SCHEDULE
to C4

A
(11193)

Candidate or Committee Name (Do not abbreviate. Use full name.)

NORMAN JOHNSON (NORM JOHNSON FOR STATE REPRESENTATIVE)

Report Date

10/18/2016 10/31/2016

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

| Date of deposit | Amount | Date of deposit | Amount | Date of deposit | Amount | Total deposits |
|-----------------|------------|-----------------|--------|-----------------|--------|----------------|
| 10/31/2016 | \$2,003.33 | | | | | \$ |

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$ \$2,003.33

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE
DEFINITIONS
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)
I - Independent Expenditures
L - Literature, Brochures, Printing
B - Broadcast Advertising (Radio, TV)
N - Newspaper and Periodical Advertising
O - Other Advertising (yard signs, buttons, etc.)
V - Voter Signature Gathering

P - Postage, Mailing Permits
S - Surveys and Polls
F - Fundraising Event Expenses
T - Travel, Accommodations, Meals
M - Management/Consulting Services
W - Wages, Salaries, Benefits
G - General Operation and Overhead

3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

| Date Paid | Vendor or Recipient (Name and Address) | Code | Purpose of Expense and/or Description | Amount |
|------------|---|------|--|----------|
| N/A | Expenses of \$50 or less | N/A | N/A | \$0.00 |
| 10/28/2016 | PHYLLIS WEBSTER 6 S 36TH AVE YAKIMA WA 98902 | | REIMBURSE STAMP PURCHASE | \$93.50 |
| 10/28/2016 | NICK SACKMAN 2300 RIVER ROAD #7 YAKIMA WA 98902 | | PUTTING UP YARD SIGNS | \$60.00 |
| 10/28/2016 | GALE SACKMAN 2300 RIVER ROAD #7 YAKIMA WA 98902 | | REIMBURSE EXPENSES FOR FAIR | \$23.97 |
| 10/28/2016 | NORMAN JOHNSON 55 W. Washington Ave #83 Yakima WA 98903 | | REIMBURSE CAMPAIGN EXPENSES | \$276.16 |
| 10/28/2016 | CENTRAL WASHINGTON HISPANIC CHAMBER OF COMMERCE 24 S 3RD AVE YAKIMA WA 98902 | | EVENT TABLE SPONSOR | \$250.00 |
| 10/28/2016 | JUNIOR ACHIEVEMENT OF WASHINGTON 650 UNIVERSITY PARKWAY YAKIMA WA 98901 | | EVENT SPONSOR | \$50.00 |

Total from attached pages \$ \$0.00

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \$ \$753.63

IN KIND CONTRIBUTIONS, PLEDGES, ORDERS, DEBTS, OBLIGATIONS

SCHEDULE
TO C4

B
(11/93)

Candidate or Committee Name (Do not abbreviate. Use full name.)
NORMAN JOHNSON (NORM JOHNSON FOR STATE REPRESENTATIVE)

Report Date
10/18/2016 10/31/2016

3. ORDERS PLACED, DEBTS, OBLIGATIONS. (Give estimate if actual amount not known. Exclude loans. Report loans on Schedule L.)

| Expenditure Date | Vendor's/Recipient's Name and Address | Amount Owed | Code OR | Description of Obligation |
|------------------|---|-------------|---------|---------------------------|
| 10/31/2016 | SMITH PHILLIPS DIPIETRO ADVERTISING 1440 N. 16TH AVE YAKIMA WA, 98902 | \$17,258.75 | | NEWSPAPER MEDIA |
| 10/31/2016 | SMITH PHILLIPS DIPIETRO ADVERTISING 1440 N. 16TH AVE YAKIMA WA, 98902 | \$6,726.70 | | GENERAL ELECTION MAILER |
| 10/31/2016 | SMITH PHILLIPS DIPIETRO ADVERTISING 1440 N. 16TH AVE YAKIMA WA, 98902 | \$2,626.27 | | POSTCARD MAILER |
| 10/31/2016 | SMITH PHILLIPS DIPIETRO ADVERTISING 1440 N. 16TH AVE YAKIMA WA, 98902 | \$500.00 | | RETAINER |
| 10/31/2016 | SMITH PHILLIPS DIPIETRO ADVERTISING 1440 N. 16TH AVE YAKIMA WA, 98902 | \$9,544.05 | | RADIO MEDIA |
| | | | | |
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| | | | | |
| | | | | |
| | | | | |
| | | | | |
| TOTAL THIS PAGE | | \$36,655.77 | | |

Margaret Cole

From: pdc@pdc.wa.gov
Sent: Wednesday, October 11, 2017 2:35 PM
To: Margaret Cole; norm@normjohnson.org
Subject: Electronic Filing Confirmation

NORMAN JOHNSON (JOHNN 948): Your C4 for the period 10-18-2016 - 10-31-2016 was successfully transmitted on Wed Oct 11 14:34:48 PDT 2017 with confirmation # 100791846