

## State of Washington PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

Shontrana Pearl Gates-Wertman 2700 Richards Rd Suite 202 Bellevue WA 98005

**SEPTEMBER 20, 2024** 

And delivered electronically to gates.sp@gmail.com

Subject: PDC Order, Case 156942

Dear Shontrana Pearl Gates-Wertman,

Enclosed is a copy of the Public Disclosure Commission's Order Imposing Fine that was entered in the above-referenced case.

At the August 29, 2024 Brief Enforcement hearing, the Presiding Officer assessed a total civil penalty of \$250, of which \$50 is suspended, in accordance with WAC 390-37-143. The \$200 non-suspended penalty is payable within 30 days of the date of this Order, which is **OCTOBER 21, 2024**.

#### **Online Payment Option:**

To make an online payment email payments@pdc.wa.gov.

A payment link will be sent to you, in which you will need to provide the following information: (1) Case Number; and (2) Respondent Name. **Please note, processing fees may apply.** 

To mail a check or money order, make it payable to the "Washington State Treasurer" and be sure to include the PDC Case Number 156942 in the memorandum field.

#### For Regular Mail:

Public Disclosure Commission PO Box 40908 Olympia, WA 98504-0908

### For Overnight Mail or In-Person Delivery:

Public Disclosure Commission 711 Capitol Way S, STE 206 Olympia, WA 98501-1267

It is also ordered that you file the missing F-1 report within 30 days of this order, which is **OCTOBER 21, 2024**.

Thank you for your prompt attention to this matter. If you have questions, please contact us by email at pdc@pdc.wa.gov.

Sincerely,

Electronically Signed by Jordan Campbell

PDC Staff

Enclosure: Initial Order



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# BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON

In Re: Compliance with RCW 42.17A

PDC Case 156942

Shontrana Pearl Gates-Wertman

Findings of Fact, Conclusions of Law, and

Respondent.

**Order Imposing Fine** 

Pursuant to the notice of brief enforcement hearing (brief adjudicative proceeding) sent to Shontrana Pearl Gates-Wertman, dated July 22, 2024, a brief adjudicative proceeding was held on August 29, 2024, remotely from Olympia, WA by live audio and online transmission. The purpose of the hearing was to consider whether the Respondent violated RCW 42.17A.700 by failing to file or timely file a Personal Financial Affairs Statement (F-1 report) for calendar year 2023, which was due to be filed no later than April 15, 2024.

The hearing was held in accordance with Chapters 34.05 RCW, 42.17A RCW and Chapter 390-37 WAC. Commission Chair Allen Hayward was the Presiding Officer and Commissioner J. Leach attended. Commission staff was represented by Compliance Coordinators Colin Peeples and Jordan Campbell. The Respondent did not participate in the hearing or submit any written material.

Having considered the evidence, the Presiding Officer finds as follows:

#### FINDINGS OF FACT

- 1. The Respondent is an elected or appointed STATE BOARD/COMMISSION MEMBER for LEGISLATIVE ETHICS BOARD.
- 2. As an elected or appointed official, RCW 42.17A.700 required the Respondent to file an F-1 report by April 15, 2024.
- 3. The Respondent did not file the F-1 report by the date of the enforcement hearing.
- 4. The Respondent has no prior violations.

#### **CONCLUSIONS OF LAW**

Based on the above facts, as a matter of law, the Presiding Officer concludes as follows:

- 1. This matter was duly and properly convened, and all jurisdictional, substantive, and procedural requirements have been satisfied.
- 2. The Respondent violated RCW 42.17A.700 by failing to file or timely file the F-1 report by April 15, 2024.

### **ORDER**

ON the basis of the foregoing Findings of Fact and Conclusions of Law,

- 1. IT IS HEREBY ORDERED that the Respondent shall file the missing F-1 report within 30 days of the date of this Order and assessed a total civil penalty of \$250, in accordance with the annual F-1 penalty schedule set forth in WAC 390-37-143.
- 2. It is further ordered that \$50 of the \$250 penalty is suspended on the following conditions:
  - a. The Respondent does not commit any further violations of Chapter 42.17A RCW or Title 390 WAC within four years of the date of this Order. The suspended penalty shall not be assessed based solely upon any remediable violation, minor violation, or error classified by the Commission as appropriate to address by a technical correction.
  - b. The Respondent pays the \$200 non-suspended civil penalty within 30 days of the date of this Order.
  - c. The Respondent files the missing F-1 report within 30 days of the date of this Order.
- 3. It is further ordered that, if the Respondent fails to comply with any of the above conditions:
  - a. The full \$250 penalty shall immediately become due without further action by the Commission and PDC Staff is directed to refer the matter to collections and/or commence other legal proceedings as authorized by RCW 42.17A and 390 WAC.
  - b. The missing F-1 report shall be due immediately.

This is an **Initial Order** of the Public Disclosure Commission.

Entered this 20th of September, 2024.

**Public Disclosure Commission** 

Electronically signed by Peter Frey Lavallee
Peter Frey Lavallee
Executive Director

I, Jordan Campbell, certify that I emailed and mailed a copy of this order to the Respondent at their respective addresses of record on the date stated herein.

<u>Electronically Signed Jordan Campbell</u> Signed September 20, 2024 Date

## APPEALS OF INITIAL ORDER

### REVIEW OF INITIAL ORDER - BY THE COMMISSION

You may request that the full Commission review this initial order. To seek review, you must:

- Make the request by email, stating the reason for review, and identifying what alleged errors are contained in the initial order. *See* WAC 390-37-144(1).
- All requests for review must be submitted electronically to <a href="mailto:pdc@pdc.wa.gov">pdc@pdc.wa.gov</a> REQUESTS FOR REVIEW MUST BE <a href="mailto:RECEIVED">RECEIVED</a> BY THE COMMISSION WITHIN <a href="mailto:TWENTY-ONE (21) CALENDAR DAYS">TWENTY-ONE (21) CALENDAR DAYS</a> AFTER THE THIS INITIAL ORDER WAS ELECTRONICALLY DISTRIBUTED TO YOU.

If review of this initial order is timely requested, the full Commission will hear the matter. If the Commission is unable to schedule a meeting to consider the request within twenty (20) calendar days, this initial order becomes a final order, and any request for review will automatically be considered a request for reconsideration of a final order. See WAC 390-37-144(4). The matter would then be scheduled for consideration and disposition at the next Commission meeting at which it is practicable to do so.

A Respondent does not need to pay a penalty until after the Commission rules on a request for review of an initial order.

## FURTHER APPEAL RIGHTS – SUPERIOR COURT

If the Commission does not receive a request for review of this initial order within twenty-one (21) calendar days, the initial order shall be the FINAL ORDER. See WAC 390-37-142(7).

YOU HAVE THE RIGHT TO APPEAL A FINAL ORDER TO SUPERIOR COURT, PURSUANT TO THE PETITION FOR JUDICIAL REVIEW PROVISIONS OF RCW 34.05.542.