

State of Washington PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

Memorandum

To: Public Disclosure Commission

From: Jennifer Hansen, Compliance Officer

Date: September 6, 2023

Subject: Asukaa Jaxx Enforcement Hearing Memorandum: PDC Case #137903

Allegations:

PDC staff alleges that Asukaa Jaxx, a candidate for Seattle City Council, District 3, in the 2023 election, violated of RCW 42.17A.700 for failure to file a Personal Financial Affairs Statement (F-1 report) as required. The F-1 reports was due to filed within two weeks of becoming a candidate, or no later than June 2, 2023.

Background:

- Asukaa Jaxx was a candidate for Seattle City Council, District 3 in the August 1, 2023, Primary Election. Ms. Jaxx's name will not appear on the November 7, 2023, General Election.
- Ms. Jaxx submitted an official write-in form with King County Elections declaring her candidacy for Seattle City Council on May 25, 2023.
- On September 27, 2022, Asukaa Jaxx filed the C-1 report, selecting the "Full Reporting" option and listing Seamus Mattewson as Treasurer. [Exhibit A]
- On May 30, 2023, PDC staff received a complaint filed by Robert Parker alleging that Asukaa Jaxx had violated of RCW 42.17A.700 for failure to file the F-1 report required to be submitted within two weeks of becoming a candidate. [Exhibit B]
- PDC staff sent notice of the complaint to Ms. Jaxx on June 8, 2023, and requested a response by June 22, 2023. [Exhibit C]

Asukaa Jaxx September 5, 2023, Enforcement Hearing Memo PDC Case No. 137903 Page - 2 –

- After conducting a preliminary review and assessment of the complaint filed by Robert Parker on May 30, 2023, PDC staff opened a formal investigation and held an Initial Hearing (Case Status Review Hearing) on August 9, 2023, pursuant to RCW 42.17A.755, and WACs 390-37-060 and 390-37-071. Asukaa Jaxx did not participate in the Initial Hearing. [Exhibit D]
- On August 15, 2023, PDC staff served Asukaa Jaxx, by U.S. mail and electronic mail, with a hearing notice for a Brief Adjudicative Proceeding to be held on September 14, 2023, concerning an alleged violation RCW 42.17A.700 for failure to file a Personal Financial Affairs Statement (F-1 report) within two weeks of becoming a candidate in the 2023 election. [Exhibit E]

Laws & Rules:

RCW 42.17A.700 requires that, within two weeks of candidacy, every candidate shall filed
statement of financial affairs covering the preceding twelve months.

/// ///

Exhibit List:

- Exhibit A Candidate Registration (C-1 report) for Asukaa Jaxx, filed September 27, 2022
- Exhibit B PDC Case #137903; Asukaa Jaxx Complaint; received May 30, 2023
- Exhibit C Original Notice of Complaint; sent electronically on June 8, 2023
- Exhibit D Initial Hearing Notice (Case Status Review Hearing) After Opening a Formal Investigation, Asukaa Jaxx, PDC Case #137903
- Exhibit E Brief Adjudicative Proceeding (Brief Enforcement Hearing) Notice; dated August 15, 2023

Exhibit A

9/5/23, 10:51 AM Campaign Finance



asukaa jaxx

we are ready for asukaa save district 3 610 Harvard Ave E Apart 208 Seattle, Washington 98102 bianca_belair_22@outlook.com 2064687305

Registration filed

Committee Category: Candidate

Candidate

Full Reporting

Submitted date: 09/27/2022

Certified by bianca_belair_22@outlook.com

2023

JAXXA 112

Must also file with City of Seattle

Candidacy

Asukaa Jaxx

City Of Seattle

9/5/23, 10:51 AM Campaign Finance

City Council Member
Position CITY COUNCIL MEMBER, POSITION 3
bianca_belair_22@outlook.com

Bank information

bank of America

230 Broadway e

Seattle, WA 98102

To schedule books review contact bianca_belair_22@outlook.com

Books will also be available for inspection for one week preceding the election between July 24th noon to 4pm and October 23rd noon to 4pm

Officers

Seamus Mathewson

Treasure

Treasurer

610 Harvard Ave E Apart 208

Seattle, Washington 98102

seamusnoahmathewson2034@gmail.com

2063913856

Registration attachments

9/5/23, 10:51 AM Campaign Finance

Name	Description	Document Type	Actions	
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Committee attachments				
Name	Description	Submitted At	Document Type	Actions
		No data avail	able	
			Rows per page: 10	<u>▼</u> - < >

Exhibit B

Respondent Name

Asukaa Jaxx

Complainant Name

Robert Parker

Complaint Description

Robert Parker

reported via the portal

(Tue, 30 May 2023 at 12:09 AM)

Candidate filed for Seattle City Council in September 2022 however has not filed an F1 for this 2023 election. Candidate has filed prior campaigns and is or should be aware of the PDC requirements.

What impact does the alleged violation(s) have on the public?

Lack of transparency to the voters

List of attached evidence or contact information where evidence may be found

https://www.pdc.wa.gov/political-disclosure-reporting-data/browse-search-data/candidates/688940

https://apollo.pdc.wa.gov/public/registrations/registration?registration id=49946

https://www.pdc.wa.gov/political-disclosure-reporting-data/browse-search-data/financial-affairs-statements?person id=1321

List of potential witnesses with contact information to reach them

Certification (Complainant)

I certify (or declare) under penalty of perjury under the laws of the State of Washington that information provided with this complaint is true and correct to the best of my knowledge and belief.

Exhibit C

Jennifer Hansen replied

Thu, 8 Jun 2023 at 4:11 PM To:liv_morgan20@yahoo.com

Hello Asukaa Jaxx,

The Public Disclosure Commission received a complaint from Robert Parker on May 30, 2023, which has been assigned PDC Case Number 137903.

The Complaint alleges the following:

• Allegation: Violation of RCW 42.17A.700 for failure to file a Personal Financial Affairs Statement (F-1 Report) within two weeks of candidacy

Please review the attached complaint and provide your response by Thursday, June 22, 2023.

In addition to submitting a response to the complaint, I encourage you to submit the missing F-1 report due within two weeks of becoming a candidate.

For your information, <u>RCW 42.17A.005(8)</u> includes a definition of the term "candidate", stating in relevant part, that an individual seeks nomination or election when they announce publicly or files for office. The term "candidate" is then used in <u>RCW 42.17A.700(2)</u> which requires the F-1 report to be submitted within two weeks of becoming a candidate.

It is staff's opinion that your submittal of the C-1 (candidate registration) made you a candidate and that you have a requirement to submit the F-1 as well.

If you determine there is a need to file or amend reports as part of this case, you may review our guides and instructions at the website (https://www.pdc.wa.gov/registration-reporting).

If further assistance filing or amending reports beyond the guides and videos is needed, please reply to this message with a request to be assigned a Filer Assistance Specialist so that you receive timely and coordinated guidance.

For more information about the enforcement process, please see our Enforcement Guide.

If you have any questions, please reply to this email.

Thank you,

Jennifer Hansen (she/her)
Compliance Officer
Public Disclosure Commission
pdc@pdc.wa.gov
1.360.586.4560

Please click **Reply when responding to this email

Exhibit D



State of Washington

PUBLIC DISCLOSURE COMMISSION

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August 4, 2023

PDC Case: 137903

Sent electronically to Asukaa Jaxx at "liv morgan20@yahoo.com"

Notice of Initial Hearing (Case Status Review)

Respondent: Asukka Jaxx

Initial Hearing/meeting date: Wednesday, August 9, 2023

Time: 9:30AM (PST)

Place: Remotely by telephone through Microsoft Teams

Evergreen Plaza Building Olympia, WA 98504

PDC Staff: Peter Frey Lavallee, Executive Director, Public Disclosure

Commission

Authority: RCW 42.17A.755(3) & WAC 390-37-071

This is to notify you that the Public Disclosure Commission (PDC) intends to open a formal investigation on August 9, 2023, and, on that date, PDC staff will conduct an Initial Hearing (Case Status Review) concerning the complaint filed by Robert Parker on May 30, 2023.

The complaint alleged that you may have violated RCW 42.17A.700 by failing to timely file the Personal Financial Affairs Statement (F-1 reports) within two weeks of becoming a candidate for office in the 2023 election.

The Initial Hearing (Case Status Review) is not an adjudicative proceeding. It is conducted in accordance with WAC 390-37-071 and is intended to provide you with information concerning the investigative process, including possible alternatives to resolve the matter.

You are not required to participate in the hearing. Further, your failure to participate will not prejudice your rights concerning the investigative process, or any potential future adjudicative proceeding in the matter.

Asukaa Jaxx Initial Hearing (Case Status Review), PDC Case 137903 Page 2

In addition to sending this Notice of Initial Hearing (Case Status Review) by email, I can send a separate email with instructions for participating in the hearing by video conference or telephone.

If you wish to participate in the Initial hearing, either by video conference or telephone, please notify Jennifer Hansen, Compliance Officer, by <u>replying directly to the email to which this</u> <u>notice is attached</u>. I will send additional instructions regarding participation in the hearing upon your response.

Sincerely,

Electronically signed Peter Frey Lavallee Peter Frey Lavallee Executive Director

Exhibit E



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August 15, 202

ASUKAA JAXX 525 14TH AVE E APT 409 SEATTLE WA 98112

ASUKAA JAXX 610 HARVARD AVE E APT 208 SEATTLE WA 98102

Also delivered electronically to liv morgan20@yahoo.comb & bianca belfair 22@outlook.com

Subject: F-1 Brief Enforcement Hearing Notice; PDC Case Number 137903

Dear Asukaa Jaxx:

Public Disclosure Commission (PDC) records indicate that you were a write-in candidate for Seattle City Council in 2023, and we have not received your *Personal Financial Affairs Statement* (F-1 report) disclosing personal financial information for the twelve months preceding your candidacy.

RCW 42.17A.700 requires candidates to file an F-1 report within two weeks of declaring their candidacy, which was to have been filed no later than June 2, 2023.

In accordance with RCW 42.17A.110 and RCW 42.17A.755, a Brief Adjudicative Proceeding (Brief Enforcement Hearing) has been scheduled to determine if you violated RCW 42.17A.700 by failing to file the required F-1 report for the 2023 election cycle. Under the Brief Enforcement Hearing rules, the Presiding Officer has the authority to assess a civil penalty in accordance with WAC 390-37-143, a penalty schedule adopted by the Commission (see enclosed copy).

HEARING INFORMATION

Date and time: Thursday, September 14, 2023 at 1:00 p.m.

Place: Remotely from Olympia

Live Audio and Online Transmission

Presiding Officer: Nancy Isserlis, Chair, Public Disclosure Commission

Authority: RCW 42.17A and WAC 390

HOW TO AVOID THE HEARING

To avoid the Brief Enforcement Hearing, please take <u>all</u> the following steps:

1. File your F-1 report electronically by no later than <u>Tuesday, September 5, 2023</u>;

Online instructions for filing the report are enclosed.

AND

- 2. <u>Mail</u> the following items to the PDC so they are postmarked on or before <u>September 5</u>, **2023:**
 - A signed Statement of Understanding (blank form enclosed); and
 - A check or money order in the amount of \$100 for the late-filed report, made payable to "Washington State Treasurer"

Please mail the signed Statement of Understanding and \$100 payment to the following address:

Public Disclosure Commission PO Box 40908 Olympia, WA 98504-0908

(Any *Statement of Understanding* with a postmark later than <u>September 5, 2023</u> will not be accepted and your case will proceed to hearing.)

PARTICIPATING IN THE HEARING VIA MICROSOFT TEAMS

The Brief Enforcement Hearing will be audio and video recorded. The Presiding Officer and PDC Staff will attend in-person or remotely via Microsoft Teams videoconferencing platform.

> PLEASE READ the entire instructions below *prior* to the day of the hearing if you plan to participate via MS Teams.

Please note that you may be prompted to download the MS Teams app or use a supported browser (Microsoft Edge or Google Chrome) for best performance.

Anyone wishing to participate in the hearing remotely must follow the instructions below and join the meeting on a computer or mobile app **promptly at 12:45 p.m. on September 14, 2023.** Please remain in the meeting until the Presiding Officer calls your name and case number. After your case has been heard, you may leave or stay in the meeting.

Please note that you must stay muted at all times except while your case is being heard.

Join on your computer or mobile app:

Click Here to Join

If you are unable to use Teams or experience technical difficulties, please call the PDC's main number 1-360-753-1111 to obtain information regarding alternate participation by telephone. you.

If you choose to participate in the hearing remotely, please be aware that you may be waiting in the queue for a unknown period of time while cases are being heard and you may have a limited amount of time to speak. If a lengthier response time is needed, please consider submitting written participation materials prior to the hearing (see below).

INTERPRETER

If a party or witness to this proceeding speaks limited English or is hearing-impaired, and needs an interpreter, a qualified interpreter will be appointed at no cost to you. Please inform us at least five business days before the hearing or no later than **September 5, 2023** if you require an interpreter for this proceeding and/or translation of its written materials in a language other than English. Please contact us by email at <u>pdc@pdc.wa.gov</u> or call us at (360) 753-1111 or 1-877-601-2828 to request an interpreter.

SUBMITTING WRITTEN MATERIALS

In advance of the Brief Enforcement Hearing, you may provide a written response describing the facts of your case for consideration by the Presiding Officer, including any circumstances or mitigating factors you would like considered. Please submit your written response so it is received by the PDC no later than <u>September 5, 2023</u>.

SUBMITTING THE REPORT

You are strongly encouraged to complete and submit the required report online prior to the Brief Enforcement Hearing so it is received by the PDC no later than <u>September 5, 2023</u>. The report must be submitted electronically and online instructions are enclosed.

If you have any questions about the hearing process prior to the hearing date, please contact PDC Staff by email at pdc.wa.gov – and be sure to reference your case number in the subject line of the email.

Sincerely,

Jennifer Hansen PDC Compliance Officer



Enclosures

- F-1 Report Instructions
- Statement of Understanding
- Brief Enforcement Hearings FAQ
- PDC Penalty Schedule

How to file your Personal Financial Affairs Statement with the PDC

https://www.pdc.wa.gov/registration-reporting/personal-financial-affairs-disclosure/how-use-f-1-filing-system-step-step

Electronic filing of financial affairs disclosure (F-1) statements is designed to simplify filing and to help filers know what to report.

See our video at www.youtube.com/watch?v=avzfNldYuv8 for a brief overview of the system and its features. You can also watch our training videos for step-by-step instruction:

For new filers www.youtube.com/watch?v=IBdcj0XxEFM
For returning filers www.youtube.com/watch?v=KG8dJgOJzIw

Appointed and elected officials required to submit Personal Financial Affairs (F-1) disclosures will use the online system to submit their statements.

Here is how to get access to the system:

1. Set up a SAW account

To get started, you'll need a Secure Access Washington (SAW) account, if you don't already have one. https://secureaccess.wa.gov/myAccess/saw/select.do This PDC video offers a guide to establishing a SAW account:

Establishing a SAW account www.youtube.com/watch?v=LdaoxXeEfNQ

In setting up a new SAW account, you might want to use an email that you have established already with the PDC. That will be the easiest way to get linked to your F-1 information.

2. Go to the new system

Once you have a SAW account, log into it, and go to the F-1 filing system by either using the "Add a Service" tool in the SAW system or by going using this link:

Financial Affairs online reporting system https://apollo.pdc.wa.gov/
Please note that the system is not compatible with Internet Explorer.

If you don't see your name in the upper right-hand corner of the application, you can request access by clicking on "New filer." Enter the email you last used to file a F-1 with the PDC. You should receive an email with a one-time security code that you will need to use to access your reports.

If the email address you've used previously for PDC filings is one you no longer can access, contact the PDC Customer Service staff at pdc.wa.gov to report the new email address that you'll use for PDC reporting. Then, return to the application and request access.

3. Start filing

Once you've established access, you will see the landing page of the new filing application. You can click the button labeled "Prior" to see your past F-1 statements. Or, if you're ready to begin filing, click the "Start" button. If you have previously filed a F-1 report in this system, you should be able to import your information.

4. Find help

Questions about what to report? The application itself includes pop-up windows (marked with a ? icon) and a FAQ (see the Help? link in the upper right-hand corner) that leads you to instructions on our website. Our full F-1 reporting manual also is available at www.pdc.wa.gov/registration-reporting/personal-financial-affairs-disclosure/completing-f-1

If you don't see the answer to your question, contact us at pdc@pdc.wa.gov

*State law requires use of electronic filing when a system is available. Filers can request a hardship exemption to electronic filing based on lack of technological ability. Hardship exemption requests can be emailed to pdc.wa.gov

BRIEF ENFORCEMENT HEARINGS – INFORMATION FOR FILERS

You have received a letter or email from the PDC scheduling a Brief Enforcement Hearing before a PDC Commissioner. Here are the answers to some Frequently Asked Questions about these brief hearings. This FAQ is informational only and should not be considered legal advice.

What is a Brief Enforcement Hearing?

The PDC is responsible for enforcing the State's campaign finance laws. We may schedule what is called a Brief Enforcement Hearing (also known as a "Brief Adjudicative Proceeding" under the Administrative Procedure Act) to address compliance with campaign finance reporting requirements when evidence shows the following types of alleged violations may have occurred:

- Failure to file or timely file required reports of financial affairs, campaign contributions and/or expenditures, independent expenditures, or funds spent on lobbying;
- Improper use of public facilities or resources in election campaigns when the value of public funds expended or facilities used was minimal; and
- Infractions of political advertising laws regarding sponsor identification or political party identification.

Brief Enforcement Hearings may be scheduled on other matters as well, if the basic facts are agreed to or are not being contested, and it is anticipated that the likely penalty imposed (if a violation is found) will be \$1,000 or less. The Commission has adopted a penalty schedule for Brief Enforcement Hearings which can be found in the Washington Administrative Code WAC 390-37-143.

A Presiding Officer, who is a PDC Commissioner, will conduct the hearing. PDC Staff will present the case to the Presiding Officer, and you will have an opportunity to explain the circumstances related to the alleged violations. You may do this in person, by telephone, or in writing by email or letter.

Who are the parties involved?

The PDC Staff initiates and investigates possible violations, and brings cases forward to the Commission or its Presiding Officer. The person who is alleged to be out of compliance with the law is referred to as the "Respondent."

What can I do to avoid the hearing?

If you received a hearing notice, you still have the opportunity to come into compliance by filing the missing report(s), paying the stated penalty, and completing a Statement of Understanding stipulating to the violation(s). If you would like to take this approach, please contact PDC Compliance and Enforcement staff at pdc@pdc.wa.gov

What do I do to prepare for the hearing?

The brief hearings are informal in nature. You are not required to have an attorney for this hearing. Hearings take place in the PDC meeting room where Commission meetings are held. You need to let the staff know at least <u>five</u> business days before the hearing whether you will be participating in-person or by phone, or prefer not to participate.

If you are having other people (witnesses) testify on your behalf, they must be available at the hearing, and staff needs to be informed of the number of witnesses and time needed for their testimony when you notify the staff of your participation. The scheduled hearing starting time is the start time for several matters that will be heard by the Presiding Officer, and your case may not be the first one heard. So you will need to remain available to participate until your case is called.

Waiving your right to participate. If you have submitted nothing in writing prior to the hearing, have made no other arrangements, and you do not appear in person, by phone, or through your legal counsel at the hearing, it will be presumed that you have decided to waive your right to participate at the hearing.

What happens at the hearing?

The Presiding Officer will introduce the participants and explain the procedure for the hearing. The hearing will be audio-taped.

The Presiding Officer will swear-in PDC Staff for them to present information regarding the alleged violation of law. You will then have an opportunity to testify, which is your opportunity to present information. All testimony by staff, Respondents, and witnesses is given under oath, but at a regular meeting table or online, not in a courtroom. The Presiding Officer may ask you some questions about the information you, PDC Staff, or witnesses have presented. If you have decided to participate in writing instead of in person or by phone, your written information will be considered by the Presiding Officer as part of the hearing materials.

If at any time the Presiding Officer believes the alleged violations are serious enough to merit penalties greater than \$1,000, the Presiding Officer will adjourn the hearing and direct that the matter be scheduled for a hearing before the full Commission at a later time.

How is the decision made?

After considering all the information presented at the hearing, the Presiding Officer will make a decision about the allegation(s) and any appropriate penalty amount. The decision is typically announced orally at the hearing.

The Presiding Officer will use the penalty schedule referred to above that has been adopted by the Commission in rule to determine the appropriate penalty for certain types of violations, taking into account aggravating and mitigating factors. The penalty schedule is below.

What happens after my hearing?

A written decision, called an Initial Order, laying out the Presiding Officer's ruling, including the findings and the penalty, will be sent to you. The PDC tries to get orders issued within 10 days if possible, and not later than 30 days. If a monetary penalty is imposed in the Initial Order, the penalty must be paid to the **Washington State Treasurer**, and mailed to the address listed in the Order cover letter within the time frame stated in the Order.

Along with your Initial Order, you will also receive information about your appeal rights, including how to request review or reconsideration by the full Commission if you disagree with the Initial Order. Follow these procedures carefully if you wish to appeal. If there is no appeal before the PDC, the Initial Order becomes a Final Order, and further appeals must be made in Superior Court.

What are the rules that apply to the procedures of my hearing?

The PDC's laws and rules are available on the PDC's website at www.pdc.wa.gov. The laws are in the Revised Code of Washington (RCW) Chapter 42.17A. The rules are in the Washington Administrative Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code of Washington Administrative Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code of Washington Administrative Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code of Washington Administrative Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief Adjudicative Proceedings are described at www.pdc.wa.gov. The laws are in the Revised Code (WAC) Title 390. Brief (WAC) Tit

Penalty Schedule [<u>WAC 390-37-143</u>]:

The Presiding Officer may assess a penalty up to one thousand dollars upon finding a violation of chapter 42.17A RCW or Title 390 WAC.

(1) Base penalty amounts:

Violation	1st Occasion	2nd Occasion	3rd Occasion
Failure to timely file an accurate and complete statement of financial affairs	(F-1):		
Filed report after hearing notice, but before enforcement hearing. Provided written explanation or appeared at hearing to explain mitigating circumstances. Did not enter into statement of understanding.		\$150 - \$300	\$300 - \$600
Filed report after hearing notice, but before enforcement hearing. Did not enter into statement of understanding.	\$150	\$300	\$600
Failed to file report by date of enforcement hearing.	\$250	\$500	\$1,000
Candidate's failure to timely file an accurate and complete registration state (F-1):	ement (C-1)	/statement	of financial affairs
Filed report after hearing notice, but before enforcement hearing. Provided written explanation or appeared at hearing to explain mitigating circumstances. Did not enter into statement of understanding.		\$150 - \$300 per report	\$300 - \$600 per report up to \$1,000
Filed report after hearing notice, but before enforcement hearing. Did not enter into statement of understanding.	\$150 per report	\$300 per report	\$600 per report up to \$1,000
Failed to file report by date of enforcement hearing.	\$250 per report	\$500 per report	consideration by full commission

[Excerpt above]

- "Occasion" means established violation. Only violations in the last five years will be considered for the purpose of determining second and third occasions.
- (2) In determining the appropriate penalty, the presiding officer may consider the nature of the violation and aggravating and mitigating factors, including:
- (a) Whether the respondent is a first-time filer;
- (b) The respondent's compliance history for the last five years, including whether the noncompliance was isolated or limited in nature, indicative of systematic or ongoing problems, or part of a pattern of violations by the respondent, or in the case of a political committee or other entity, part of a pattern of violations by the respondent's officers, staff, principal decision makers, consultants, or sponsoring organization;
- (c) The respondent's unpaid penalties from a previous enforcement action;
- (d) The impact on the public, including whether the noncompliance deprived the public of timely or accurate information during a time-sensitive period, or otherwise had a significant or material impact on the public;

- (e) The amount of financial activity by the respondent during the statement period or election cycle;
- (f) Whether the late or unreported activity was significant in amount or duration under the circumstances, including in proportion to the total amount of expenditures by the respondent in the campaign or statement period;
- (g) Corrective action or other remedial measures initiated by respondent prior to enforcement action, or promptly taken when noncompliance brought to respondent's attention;
- (h) Good faith efforts to comply, including consultation with PDC staff prior to initiation of enforcement action and cooperation with PDC staff during enforcement action, and a demonstrated wish to acknowledge and take responsibility for the violation;
- (i) Personal emergency or illness of the respondent or member of his or her immediate family;
- (j) Other emergencies such as fire, flood, or utility failure preventing filing;
- (k) Sophistication of respondent or the financing, staffing, or size of the respondent's campaign or organization; and
- (l) PDC staff, third-party vendor, or equipment error, including technical problems at the agency preventing or delaying electronic filing.
- (3) The presiding officer has authority to suspend all or a portion of an assessed penalty under the conditions to be determined by that officer including, but not limited to, payment of the nonsuspended portion of the penalty within five business days of the date of the entry of the order in that case.
- (4) If, on the third occasion, a respondent has outstanding penalties or judgments, the matter will be directed to the full commission for consideration.
- (5) The presiding officer may direct a matter to the full commission if the officer believes one thousand dollars would be an insufficient penalty or the matter warrants consideration by the full commission. Cases will automatically be scheduled before the full commission for an enforcement action when the respondent:
- (a) Was found in violation during a previous reporting period;
- (b) The violation remains in effect following any appeals; and
- (c) The person has not filed the disclosure forms that were the subject of the prior violation at the time the current hearing notice is being sent.

[Statutory Authority: RCW <u>42.17A.110</u>(1) and 2018 c 304. WSR 18-24-074, § 390-37-143, filed 11/30/18, effective 12/31/18. Statutory Authority: RCW <u>42.17A.110</u>(1) and <u>42.17A.570</u>. WSR 18-10-088, § 390-37-143, filed 5/1/18, effective 6/1/18. Statutory Authority: RCW <u>42.17A.110</u>. WSR 17-03-004, § 390-37-143, filed 1/4/17, effective 2/4/17.]



State of Washington

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Public Disclosure Commission Statement of Understanding Asukaa Jaxx: PDC Case 137903

, hereby acknowledge that I violated RCW 42.17A.700 by

(Asukaa Jaxx)	
failing to timely file the required Personal Financia	al Affairs Statement (F-1 report) with the Public
Disclosure Commission (PDC) as required for a ca	andidate seeking election in 2023. The F-1 report was
due to be filed within two weeks of becoming a ca	andidate, or no later than June 2, 2023.
I would like to avoid the time and expense resulting	ng from a Brief Adjudicative Proceeding (Brief
Enforcement Hearing). Therefore, in lieu of a hear	ring, I acknowledge that I violated Washington law as
described above, agree to file the missing F-1 repo	ort, and agree to enter into this Statement of
Understanding to resolve this case with the PDC. I	Further, in accordance with the Penalty Schedule set
forth in WAC 390-37-143, I agree to pay a \$100 c	ivil penalty for violating RCW 42.17A.700.
I understand that I must provide the missing F-	-1 report, signed Statement of Understanding, and
\$100 civil penalty to the PDC on or before Sept	ember 5, 2023.
By signing this Statement of Understanding, I ack	nowledge that I violated RCW 42.17A.700 and waive
my right to a hearing in this matter. I further under	rstand that by filing the missing F-1 report, signing
this Statement of Understanding, and paying the \$	100 civil penalty, PDC Case no. 127903 is resolved.
(Asukaa Jaxx)	(Date Signed)

Please make your check or money order payable to the "Washington State Treasurer," be sure to include PDC Case number 137903 in the memorandum field, and mail or deliver this Statement of Understanding along with your payment to the PDC at the following address:

Asukaa Jaxx Statement of Understanding PDC Case 137903 Page 2

Public Disclosure Commission PO Box 40908 711 Capitol Way, Room 206 Olympia, WA 98504-0908