1 2 3 4 5 BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON 6 7 In Re: The Matter of Enforcement Action PDC CASE NO. 94089 Against: 8 FINAL ORDER ON REQUEST FOR RECONSIDERATION 9 KAY BROWN, 10 Respondent. 11 12 This matter was heard at a Special Meeting of the Washington State Public Disclosure 13 Commission (Commission) on October 12, 2021, by telephonic and online streaming access. 14 Kay Brown (Respondent), requested review of the Presiding Officer's Order, issued following a 15 Brief Enforcement Hearing (Brief Adjudicative Proceeding) held July 15, 2021. The hearing 16 was held in accordance with Chapters 34.05 and 42.17A RCW, and Chapter 390-37 WAC. 17 Commissioners present were Fred Jarrett, Commission Chair (presiding); Nancy Isserlis, 18 Commission Vice-Chair; and Commissioners William Downing and Allen Hayward. Also 19 present both telephonically and online were Assistant Attorney General John S. Meader 20 representing the Commission, Assistant Attorney General Chad Standifer, representing PDC 21 Staff, and Tabitha Townsend, PDC Compliance Coordinator. The Respondent appeared on her 22 own behalf. The proceeding was open to the public as limited by Governor's Proclamation 20-23 28 et seq. and recorded. 24 The Commissioners had before them the following materials: 25 1. Case memorandum dated October 6, 2021, prepared by Tabitha Townsend, PDC

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Compliance Coordinator;

- 2. Brief Adjudicative Proceeding Hearing Notice for hearing on July 15, 2021;
- 3. Initial Order Imposing Fine, PDC Case #94203, entered August 17, 2021;
- 4. F-1 report covering calendar year 2020, filed September 20, 2021;
- 5. Respondent's request for reconsideration, dated September 21, 2021;
- 6. Emails from the Shoreline Hearings Board, dated September 28, 2021.

I. FINDINGS OF FACT

This matter involves Kay Brown (Respondent), a Board Member for the Shoreline Hearings Board during calendar year 2020. Her term ended June 1, 2020. During a Brief Enforcement Hearing held on July 15, 2021, Respondent was found to have violated RCW 42.17A.700 for failure to timely file a Personal Financial Affairs Statement (F-1 Report) no later than April 15, 2021. At the Brief Enforcement Hearing an Order imposing a \$250 civil fine, with \$150 suspended, was entered. The Respondent has no prior violations of RCW 42.17A.700 with the PDC.

- 1. Pursuant to RCW 42.17A.700, an elected or appointed official who held office in 2020 was required to file an F-1 report, disclosing financial information for calendar year 2020, no later than April 15, 2021.
- 2. Between February and May of 2021, PDC staff sent email reminders to all elected and appointed officials regarding their missing F-1 reports for calendar year 2020.
- 3. On May 11, 2021, PDC staff emailed a warning notice regarding the missing F-1 report for calendar year 2020. The notice was sent to the Respondent's email address of record.
- 4. On June 29, 2021, PDC staff emailed a hearing notice for a July 15, 2021, Brief Adjudicative Proceeding (Brief Enforcement Hearing) to Respondent's email address of record.

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- 5. At the Brief Enforcement Hearing held on July 15, 2021, the Respondent was found in violation of RCW 42.17A.700 for failure to timely file the F-1 report no later than April 15, 2021. Respondent was assessed a \$250 penalty consistent with WAC 390-37-143, the Brief Enforcement Hearing penalty schedule adopted by the Commission. The Presiding Officer suspended \$150 of the \$250 penalty conditioned upon the Respondent filing the missing F-1 report and paying the non-suspended \$100 penalty within 30 days of the Initial Order being served. The full penalty amount was later reinstated for failure to timely pay the non-suspended portion of the fine.
- 6. On August 17, 2021, PDC staff served the Initial Order to the Respondent's email address of record.
- 7. On September 21, 2021, the Respondent submitted written materials to PDC staff appealing the August 16, 2021, Initial Order based on the fact she had retired June 1, 2021, and her email account was no longer accessible to her. She further stated her former office staff performed searches of their email system and could not find any emails from the PDC regarding the hearing or the penalties imposed on her. Respondent admitted responsibility at the Reconsideration hearing and testified she was working remotely due to the pandemic and no longer had assistance of Board staff.
- 8. The Respondent filed the missing 2020 F-1 report covering calendar year 2020 activity on September 20, 2021.
- 9. On October 4, 2021, PDC staff served the Respondent by email with a hearing notice for a October 12, 2021, Reconsideration Hearing before the Public Disclosure Commission along with instructions on how to participate in the hearing remotely.

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1 | 2 II. **CONCLUSIONS OF LAW** 3 1. The Commission has jurisdiction over this proceeding pursuant to Chapter 4 42.17A RCW, the State campaign finance and disclosure law; Chapter 34.05 RCW, the 5 Administrative procedure Act; and Title 390 WAC. 6 7 2. The Respondent violated RCW 42.17A.700 by failing to timely file the F-1 8 report for calendar year 2020 that was due no later than April 15, 2021. 9 III. ORDER 10 The civil penalty of \$250, with \$150 suspended, assessed at the July 15, 2021, brief 11 12 adjudicatory hearing, is RESCINDED in full. The finding of a violation of RCW 42.17A.700 for 13 failing to timely file the annual Personal Financial Affairs Statement (F-1 report) that was due 14 no later than April 15, 2021 stands as stated in the order dated July 15, 2021... 15 16 SO ORDERED this 8th day of November, 2021. 17 WASHINGTON STATE PUBLIC DISCLOSURE COMMISSION 18 FOR THE COMMISSION: 19 arrett 20 Fred Jarrett. 21 Chair, Public Disclosure Commission 22 This order sent by email to: Kay Brown, Respondent 23 Kayandart.brown@gmail.com I, Tabitha Townsend , certify that I emailed and mailed a copy of this order to the Respondent at his 24 Tabitha Townsend. respective email and mailing addresses. PDC Compliance Coordinator, 25 pdc@pdc.wa.gov **Electronically Signed Tabitha Townsend** 11/9/2021 Signed Date 26